



117w 2161

PATENT  
Attorney Docket No.: ITLV-00103

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert Olan Keith, Jr.

Serial No.: 09/800,592

Filed: March 06, 2001

For: **METHOD AND APPARATUS FOR  
FORMATTING INFORMATION  
WITHIN A DIRECTORY TREE  
STRUCTURE INTO AN  
ENCYCLOPEDIA- LIKE ENTRY**

) Group Art Unit: 2161

) Examiner: Nguyen, Cam Linh T.

) **TRANSMITTAL LETTER**

) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

) Customer No. 28960

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: April 12, 2005

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

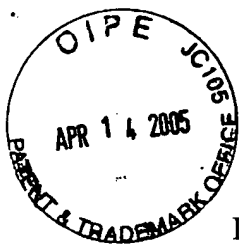
CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 4/12/05 By: [Signature]

Attorneys for Applicant



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Serial No.: 09/800,592	)	
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Filed: March 06, 2001	)	<b><u>CERTIFICATION FOR</u></b>
	)	<b><u>SUPPLEMENTAL INFORMATION</u></b>
	)	<b><u>DISCLOSURE STATEMENT UNDER 37</u></b>
For: <b>METHOD AND APPARATUS FOR</b>	)	<b><u>CFR § 1.97(e)</u></b>
<b>FORMATTING INFORMATION</b>	)	
<b>WITHIN A DIRECTORY TREE</b>	)	162 North Wolfe Road
<b>STRUCTURE INTO AN</b>	)	Sunnyvale, CA 94086
<b>ENCYCLOPEDIA- LIKE ENTRY</b>	)	
	)	(408) 530-9700
	)	
	)	Customer No.: 28960

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Alexandria, VA 22313-1450

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This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

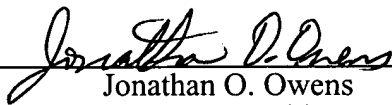
I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on March 8, 2005. **Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2)**

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: April 12, 2005

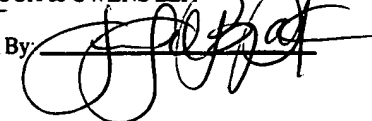
By:   
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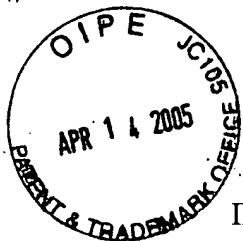
Attorneys for Applicant

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HAVERSTOCK & OWENS LLP

Date: 4-12-05 By: 



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Serial No.: 09/800,592	)	<b><u>SUPPLEMENTAL INFORMATION</u></b>
	)	<b><u>DISCLOSURE STATEMENT</u></b>
Filed: March 6, 2001	)	
	)	
For: <b>METHOD AND APPARATUS FOR</b>	)	162 N. Wolfe Road
<b>FORMATTING INFORMATION</b>	)	Sunnyvale, CA 94086
<b>WITHIN A DIRECTORY TREE</b>	)	(408) 530-9700
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	)	Customer No. 28960

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 6,133,938; and
- U.S. Patent No. 6,292,894.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: April 12, 2005

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENS LLP

Date: 4/12/05 By: [Signature] - 2 -

FORM PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: ITLV-00103

Serial No.: 09/800,592

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**  
(Use Several Sheets If Necessary)

Applicant: Robert Olan Keith Jr.

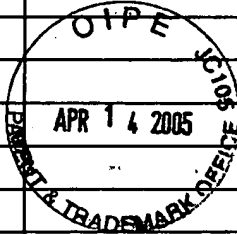
(37 CFR § 1.98(b))

Filing Date: March 06, 2001

Group Art Unit: 2161

## U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	6,133,938	10/17/00	James	348	8	03/11/99
	AB	6,292,894	09/18/01	Chipman et al.	713	168	11/23/99
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						



## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AK							
	AL							
	AM							
	AN							
	AO							

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	AP	
	AQ	
	AR	
	AS	
	AT	
	AU	
	AV	
	AW	
	AX	
	AY	
	AZ	

Examiner:

Date Considered:

**EXAMINER:**

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.